

Embryonic stem cell-derived tissues are immunogenic but their inherent immune privilege promotes the induction of tolerance

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Although human embryonic stem (ES) cells may one day provide a renewable source of tissues for cell replacement therapy (CRT), histoincompatibility remains a significant barrier to their clinical application. Current estimates suggest that surprisingly few cell lines may be required to facilitate rudimentary tissue matching. Nevertheless, the degree of disparity between donor and recipient that may prove acceptable, and the extent of matching that is therefore required, remain unknown. To address this issue using a mouse model of CRT, we have derived a panel of ES cell lines that differ from CBA/Ca recipients at defined genetic loci. Here, we show that even expression of minor histocompatibility (mH) antigens is sufficient to provoke acute rejection of tissues differentiated from ES cells. Nevertheless, despite their immunogenicity *in vivo*, transplantation tolerance may be readily established by using minimal host conditioning with nondepleting monoclonal antibodies specific for the T cell coreceptors, CD4 and CD8. This propensity for tolerance could be attributed to the paucity of professional antigen-presenting cells and the expression of transforming growth factor (TGF)- β_2 . Together, these factors contribute to a state of acquired immune privilege that favors the polarization of infiltrating T cells toward a regulatory phenotype. Although the natural privileged status of ES cell-derived tissues is, therefore, insufficient to overcome even mH barriers, our findings suggest it may be harnessed effectively for the induction of dominant tolerance with minimal therapeutic intervention.

cell replacement therapy | acquired immune privilege | regulatory T cell

The first derivation of human embryonic stem (ES) cell lines in 1998 (1) proved a decisive turning point in biomedical science, offering a potentially limitless source of cell types and tissues for regenerative medicine. The differentiated products of human and other primate ES cells have been used successfully in animal models of diseases as diverse as myocardial infarction (2), ischemic-reperfusion injury (3), and Parkinson's disease (4), each requiring the administration of a single, purified cell type. Furthermore, advances in tissue engineering may facilitate the construction of functional organoids from the differentiated products of ES cells, raising the prospect of treating more complex diseases in the future. Nevertheless, rejection of the transplanted tissues remains the single greatest obstacle to cell replacement therapy (CRT) (5, 6), the immunological barriers potentially proving most profound after the implantation of composite tissues that necessarily provide a more diverse source of tissue-specific antigens than homogenous populations of cells. To assess whether rudimentary matching between donor and recipient might address the immunological barriers encountered in the clinic, Taylor and colleagues have estimated the magnitude of the bank of human ES cells required to make CRT accessible to a significant proportion of the population (7). Although their conclusion that 150 lines might be sufficient represents an achievable goal, this figure was based on matching only selected MHC loci, on the assumption that the judicious use

of immune suppression might overcome residual immunogenicity. Given that the risks of long-term immune suppression may exceed those of many disease states amenable to CRT, such a premise may, however, be unfounded.

Although the need for more stringent matching of donor and recipient would inevitably increase the number of ES cell lines required, this trend may be partially tempered by the propensity for immune privilege reportedly enjoyed by ES cells and their differentiated progeny. Circumstantial evidence suggests that both mouse and human ES cells display immune privilege due, in part, to their low expression of MHC determinants (8–10). Nevertheless, the extent to which this may modulate alloreactivity *in vivo* remains controversial: Whereas Drukker and colleagues have demonstrated the unopposed acceptance of human ES cell-derived tissues in a trimera mouse model of CRT (11), others have found significant cellular infiltration of tissues differentiated from allogeneic mouse ES cells, leading ultimately to their rejection (6, 12, 13).

To define more precisely the extent of histoincompatibility that might be tolerated in the absence of immune suppression after the implantation of composite tissues rather than homogenous populations of cells, we have made use of CBA/Ca mice as recipients of CRT and derived a panel of ES cell lines from strains of mice with increasing levels of genetic disparity. Using so-called embryoid bodies (EB) as composite tissues for transplantation, we show that the differentiated progeny of ES cells are as susceptible to rejection as tissues from any conventional source. Nevertheless, their propensity for acquired immune privilege may be harnessed, through minimal host conditioning, to establish a profound state of transplantation tolerance in which a proportion of naïve, alloreactive T cells is polarized toward a regulatory phenotype.

Results

Derivation of a Panel of Murine ES Cell Lines. No systematic study has so far been conducted to define the degree of genetic parity between donor and recipient required in the context of CRT. Such information cannot be inferred from studies of whole-organ transplantation because the properties of immune privilege reported for ES cells and their progeny may introduce some measure of leniency into the matching process. To address this issue, we made use of protocols established in one of our laboratories (14), to derive ES cell lines from strains of mice that

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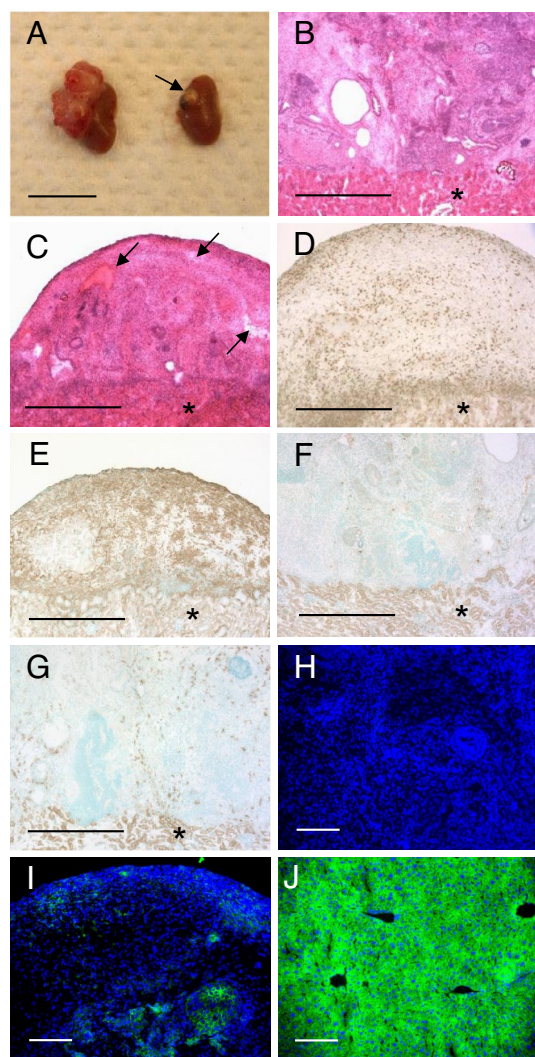


Fig. 1. ES cell-derived tissues are rapidly rejected by fully allogeneic recipients. (A) EB differentiated from ESF75 (C57BL/6) fail to engraft under the kidney capsule of female CBA/Ca recipients (arrow), compared with syngeneic EB from ESF121. (B and C) Histological analysis of serial sections from syngeneic (B) and allogeneic (C) EB 16 days after transplantation. The asterisk identifies tissue from the recipient kidney, and arrows denote areas of tissue damage within the engrafted EB. (D–G) Serial sections from allogeneic (D and E) and syngeneic (F and G) EB stained with mAb specific for the T cell marker CD3 (D and F) or the macrophage marker, F4/80 (E and G). All micrographs are fully representative of multiple recipients in each group ($n = 11$ for syngeneic, and $n = 12$ for allogeneic donor–recipient combinations). (H–J) Expression of the MHC class I determinant H-2K^b by EB from ESF75 grafted under the kidney capsule of immunodeficient CBA.RAG1^{−/−} mice (H) or normal CBA/Ca recipients (I), compared with sections of liver from a mouse of the H-2^b haplotype, by way of a positive control (J). The distribution of H-2K^b is shown in green, and staining of nuclei by DAPI is overlaid in blue. (Scale bars: 1 cm in A, 500 μ m in B–G, and 50 μ m in H–J.)

active expression of genes associated with immune privilege, or a combination of the two. To investigate the extent to which donor dendritic cells (DC) might contribute to rejection by the direct presentation of alloantigen to host T cells, we mechanically dissociated EB after 14 days in culture and analyzed the expression of DC-associated markers by flow cytometry, using mature DC differentiated from bone marrow progenitors (bmDC) for comparison. Whereas bmDC stained strongly for all markers (Fig. 3 A–E), the differentiated progeny of mouse ES cells expressed low levels of MHC class I molecules and no

Table 2. Survival of ES cell-derived grafts in female CBA/Ca mice with or without treatment with mAb specific for CD4 and CD8

Cell line	Proportion (%) of grafts surviving			
	Day 16	Day 21	Day 28	Day 28 with CD4/CD8 mAb
ESF121	6/6 (100)	ND	11/11 (100)	ND
ESF191	0/12 (0)	ND	ND	5/5 (100)
ESF166	5/7 (71)	1/8 (12.5)	0/12 (0)	8/8 (100)
ESF75	0/7 (0)	0/14 (0.)	ND	6/6 (100)

ND, not determined.

detectable MHC class II (Fig. 3 F and G) in accordance with previous reports for human ES cells (8). Furthermore, the absence of staining for CD11c and CD80 (Fig. 3 H and I) is consistent with a paucity of endogenous DC: although low levels of CD86 could be detected above background staining with isotype controls (Fig. 3J), its equivalent expression by undifferentiated ES cells (N.J.R. and P.J.F., unpublished observations) suggests it may be a vestige of the differentiation process.

To determine whether the absence of DC has functional relevance in our model, we treated male CBA/Ca recipients with mAb specific for CD4 and/or CD8 and grafted them with EB from the CB/K ES cell line ESF166. Whereas conditioning secured 100% survival of EB, the i.v. administration of mature DC differentiated from CB/K bone marrow provoked their prompt rejection: Indeed, as few as 5×10^5 cells per mouse proved sufficient to antagonize the induction of tolerance (Table 3). Our results imply, therefore, that the absence of direct presentation of alloantigen by endogenous DC removes a powerful stimulus for rejection. Nevertheless, irrespective of the presence of donor DC, the recognized capacity of the indirect pathway to provoke allograft rejection (20), suggests that additional mechanisms may operate to favor tolerance induction in this system.

To address this issue, we investigated a number of genes implicated in immune privilege and compared their expression by EB and skin grafts using quantitative PCR (qPCR). Fig. 4 shows the pattern of expression of selected genes from the 87 immunologically relevant genes included in the Taqman array (SI Table 4). The antiinflammatory cytokines IL-10 and transforming growth factor (TGF) β_1 and β_2 are shown, together with the enzymes indoleamine 2,3-dioxygenase (Indo) and arginase 1 and 2, thought to foster immune privilege by virtue of their capacity to deplete the essential amino acids tryptophan and arginine from the local microenvironment (21, 22). Whereas expression of the catalytic enzymes arginase 1 and 2 and of TGF- β_1 was largely unremarkable (Fig. 4 A–C), IL-10 and Indo appeared more strongly correlated with rejection of EB than their survival (Fig. 4 D and E), suggesting that their expression is unlikely to contribute to their privileged status. In contrast,

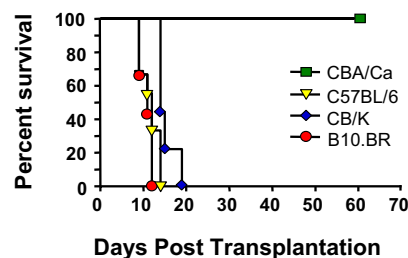


Fig. 2. Kinetics of the survival of skin grafts from each of the strains of mouse from which ES cell lines were derived, after transplantation to female CBA/Ca recipients.

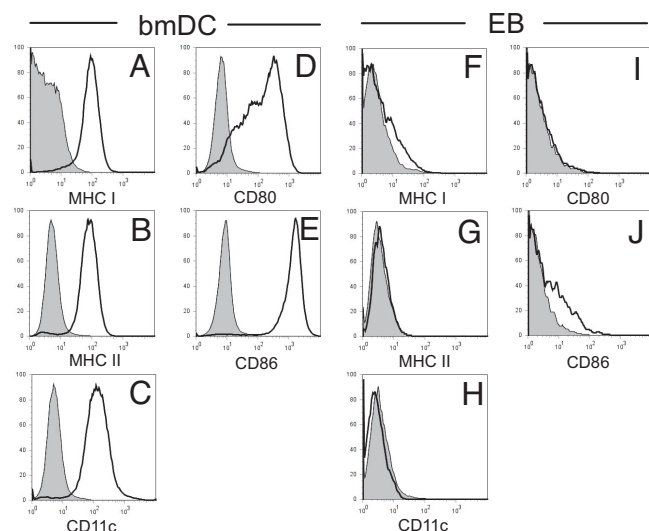


Fig. 3. EB are devoid of professional antigen presenting cells at the time of transplantation. EB were dissociated into a single-cell suspension at day 14 of culture and were analyzed for the surface expression of DC markers by flow cytometry. Mature bmDC (A–E) and cells derived from EB (F–J) were stained for expression of MHC I (A and F), MHC II (B and G), CD11c (C and H), CD80 (D and I), and CD86 (E and J). Shaded histograms denote background staining using control, isotype-matched antibodies.

TGF- β_2 , known to be strongly implicated in acquired immune privilege in the eye (23), was expressed upon differentiation of ES cells into EB *in vitro*, as described (24) and was further up-regulated after their long-term survival *in vivo* (Fig. 4F). Although these data cannot distinguish cause and effect, the expression of TGF- β_2 was found to be minimal in skin grafts, whether tolerated or rejecting, suggesting that ES cell-derived tissues are qualitatively different from tissues derived from conventional sources with respect to their expression of this immunomodulatory cytokine. Although we are unable to attribute the up-regulation of TGF- β_2 solely to parenchymal cells of the graft, mRNA levels were found to correlate inversely with the presence of an inflammatory infiltrate, suggesting that recipient leukocytes are not the principal source.

TGF- β_2 Is a Likely Contributor to the Induction of Dominant Tolerance.

To determine whether TGF- β_2 mRNA is translated into the functionally active cytokine and to investigate the role it may play in the induction of tolerance, we made use of T cell receptor (TCR) transgenic mice as recipients of CRT. A1.RAG1^{-/-} mice express an MHC class II-restricted TCR specific for residues 479–493 of Dbp, a male-specific mH antigen, encoded on the Y chromosome (25). In common with other mouse strains on a RAG1^{-/-} genetic background, A1.RAG1^{-/-} mice are entirely devoid of CD4⁺Foxp3⁺ regulatory T (Treg) cells that may actively inhibit allograft rejection (26); Consequently, female A1.RAG1^{-/-} mice readily reject male skin grafts. Strikingly,

Table 3. Capacity of mature DC to prevent the induction of tolerance to ES cell-derived tissues

Treatment regime	Grafts surviving at day 28 (%)
No treatment	3/8 (37.5)
CD8 mAb	5/5 (100)
CD8 mAb + 5×10^5 bmDC	0/4 (0)
CD8 mAb + 2×10^6 bmDC	0/5 (0)

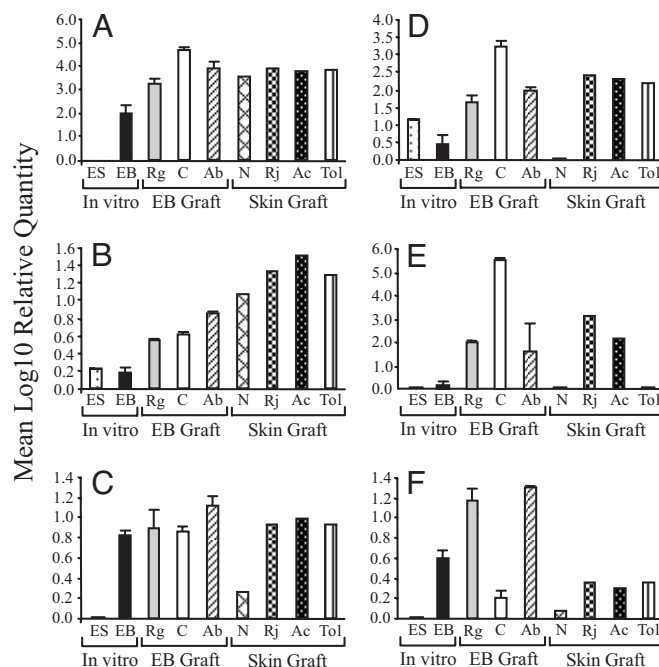


Fig. 4. Quantitative PCR showing the relative expression of genes associated with immune privilege by ESF166 (ES; dotted bars) and by differentiated EB either *in vitro* (EB; black bars) or after their implantation under the kidney capsule of CBA.RAG1^{-/-} mice (Rg; gray bars), CBA/Ca recipients (C; white bars) or CBA/Ca mice treated with mAb specific for CD4 and CD8 (Ab; hatched bars). For comparison, expression of the relevant genes is displayed for normal skin (N; diamond bars), or skin grafts that are either in the process of being rejected (Rj; checkered bars), or have been accepted spontaneously by syngeneic mice (Ac; stippled bars), or allogeneic recipients after the induction of tolerance by using mAb (ToI; striped bars). Expression of the following genes was assessed: *Arginase 1* (A), *Arginase 2* (B), *Tgfb1* (C), *Il-10* (D), *Indo* (E), and *Tgfb2* (F). All values were normalized to expression levels of the housekeeping gene *Hprt*.

however, EB differentiated from the male ES cell line ESF116, survived indefinitely after transplantation under the kidney capsule of female A1.RAG1^{-/-} mice, even in the absence of conditioning with mAb. Far from being rejected, EB became vascularized and formed teratomas containing a wide variety of differentiated tissues (Fig. 5A), despite a significant T cell infiltrate (Fig. 5B).

We investigated the potential role played by TGF- β_2 in the acceptance of male EB by staining serial sections with polyclonal antisera specific for phospho-smad2/3, an essential component of the TGF- β signaling pathway. Fig. 5B shows almost ubiquitous nuclear staining of cells that was readily inhibited by a smad2 blocking peptide, consistent with an environment replete with functionally active TGF- β_2 . Significantly, immunohistochemistry revealed the presence of infiltrating CD4⁺ T cells that had up-regulated Foxp3, indicative of a regulatory phenotype (Fig. 5C). That their conversion to Treg cells had occurred *in situ* in response to the local production of TGF- β_2 was confirmed by using, as recipients, A1.RAG1^{-/-} females that had been crossed with dnTGF β R11.RAG1^{-/-} mice, so as to introduce a dominant-negative receptor for TGF- β . Fig. 5D shows that, despite readily infiltrating male EB, CD4⁺ T cells incapable of responding to TGF- β_2 , failed to acquire the phenotype of Treg cells by up-regulating Foxp3. Staining for smad2/3 confirmed the presence of functionally active TGF- β_2 , which was confined to the implanted ES cell-derived tissues, the recipient kidney showing no staining above background (Fig. 5D, asterisk).

To determine whether the microenvironment alone was sufficient to secure conversion to a Treg phenotype or whether

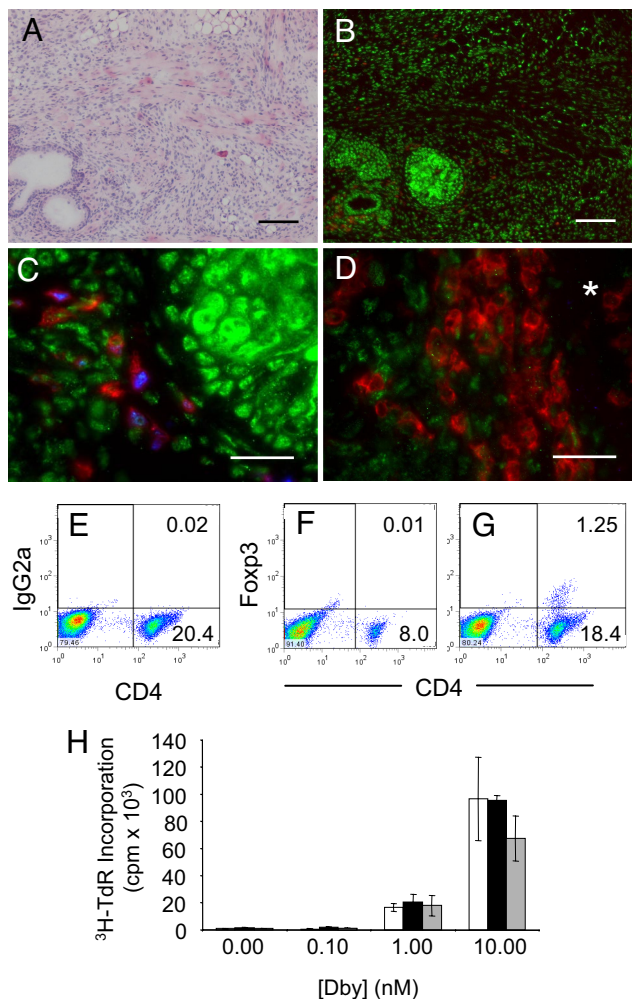


Fig. 5. Tissues derived from ES cells provide a microenvironment conducive to the induction of CD4⁺Foxp3⁺ Treg cells. (A–D) Serial sections of teratomas derived from the male ES cell line ESF116 after implantation under the kidney capsule of female A1.RAG1^{−/−} mice (A–C) or female A1.RAG1^{−/−} × dnTGFβRII.RAG1^{−/−} mice (D). Sections are stained with hematoxylin and eosin (A), CD4 (red) versus phospho-smad 2/3 (green) (B–D), and with the distribution of Foxp3 overlaid in blue (C and D), showing that expression of Foxp3 is restricted to T cells capable of responding to TGF-β₂ within the local microenvironment. The asterisk identifies the recipient kidney. (Scale bars: 100 μm in A and B and 25 μm in C and D). (E–G) Flow cytometric analysis of cells purified from female (F) and male (G) EB stained for CD4 and Foxp3, compared with isotype controls (E). (H) Dose-dependent proliferative responses of splenic T cells from naive mice (white bars) or mice receiving female (black bars) or male EB (gray bars) stimulated with Dby peptide.

antigen recognition was also required, we extracted infiltrating CD4⁺ cells from male EB spontaneously accepted by A1.RAG1^{−/−} mice. Flow-cytometric analysis revealed that ≈6% of T cells were Foxp3⁺, the equivalent cells purified from female grafts showing no evidence of Foxp3 expression (Fig. 5 E–G). These results provide unequivocal evidence that the recognition of specific antigen in the presence of TGF-β₂ is responsible for the polarization of alloreactive T cells toward a regulatory phenotype. Interestingly, Foxp3⁺ cells appeared to accumulate within accepted male EB, as described for male skin grafts (27), but were far less prevalent within the lymphoid tissues, consistent with local rather than systemic tolerance. This was supported by proliferation assays in which the response to Dby (479–493) of T cells from the spleens of mice receiving male EB was not significantly different from those of control T cells from naive

mice or the recipients of female EB (Fig. 5H). These findings provide a physiological basis for the immune privilege widely reported among ES cells and their differentiated progeny and suggest that CD4 and CD8 mAb, known to promote regulation *in vivo* (26), may harness this natural immune privilege to secure long-term survival of composite tissues, even across a full MHC barrier.

Discussion

Prospects for the use of ES cells for the treatment of chronic and degenerative diseases in man have recently received support from estimates of the number of notional lines that would be required to make CRT accessible to a significant proportion of the population (7). The suggestion that much of the benefit of tissue typing could be realized from as few as 150 clinically approved lines, was, however, based on matching of selected MHC loci. Indeed, “beneficial” matches were considered to share HLA-A, -B and -DR alleles alone, on the assumption that residual mismatches between donor and recipient might be mitigated by standard immune suppression. Given the ethical constraints surrounding the protracted use of immune suppression for chronic disease states amenable to CRT, the level of matching required may, in reality, prove rather greater than anticipated. Conversely, the immune privileged status enjoyed by ES cells and their differentiated progeny (9, 10) may help to temper aggressive alloreactivity, permitting some level of histoincompatibility to be accommodated. The uniqueness of this context therefore suggests the need for a systematic study to be performed to define more rigorously the degree of disparity that may be tolerated after CRT.

Our own studies add weight to the notion of immune privilege by showing that differentiation of mouse ES cells is associated with the up-regulation of TGF-β₂ (Fig. 4F), whose antiinflammatory properties have been strongly implicated in acquired immune privilege encountered in the anterior chamber of the eye (23). We show here that male EB, grafted under the kidney capsule of female A1.RAG1^{−/−} mice, survive indefinitely, despite the prevalence of T cells specific for the male mH antigen and their evident reactivity to Dby *in vitro* (Fig. 5H). Although the resulting teratomas show a significant T cell infiltrate, the integrity of the tissues appears unaffected. Critically, ≈6% of infiltrating T cells adopt a regulatory phenotype, typified by up-regulation of the Foxp3 transcription factor (Fig. 5 E–G). The conclusion that polarization toward this phenotype depends on TGF-β₂ within the local microenvironment is supported by the observation that smad2 is actively phosphorylated and translocated to the nucleus of T cells expressing Foxp3 (Fig. 5C). Furthermore, A1.RAG1^{−/−} mice, engineered to express a dominant-negative form of the TGF-β receptor within the T cell compartment, fail to show any Foxp3 expression (Fig. 5D). Although these results confirm the direct effect of TGF-β₂ on infiltrating T cells, various studies have also demonstrated how DC, cultured in TGF-β₂, are able to polarize naive T cells toward regulatory function, thereby antagonizing adaptive immune responses (28, 29). It is conceivable, therefore, that recipient DC, infiltrating the graft, are actively influenced by the local microenvironment, allowing them to present alloantigen, acquired *in situ*, in a tolerogenic manner. Paradoxically, therefore, although composite tissues may have been anticipated to represent a greater immunological challenge than the purified cell types used routinely in animal models of disease, our results suggest that they may still be readily accepted by virtue of their capacity to create an immunologically privileged environment, conducive to the establishment of a repertoire of Treg cells.

To investigate the extent of genetic disparity between donor and recipient that might be accommodated by this inherent tendency for immune privilege, we made use of ES cell lines differing from CBA/Ca recipients at defined genetic loci (Table

